

Table 1: Unallocated Work in Quarter 1 and 2.

Progress Against Plan – Priority One – Not Yet Allocated		
Audit Area	Budget Days	Comments
		There are no planned items under Priority One that have not been allocated.
Total Priority One	0	
Progress Against Plan – Priority Two – Not Yet Allocated		
Schools	20	The cross cutting reviews relating to compliance have not yet been allocated but will be included within the allocations for quarter 3 and 4.
ICT Audit	20	The ICT systems reviews have not yet been allocated in Quarter 2 and therefore have been deferred to Quarters 3 and 4. It is envisaged this work will be undertaken Internally and by SWAP
Total Priority Two	40	
Overall Total of un allocated days – Priority One & Two	40	

Table 2: Brought Forward Work from Future Quarters.

Progress Against Plan – Priority One – Brought Forward from Future Quarters		
Audit Area	Budget Days	Comments
Healthy Organisation Review Follow Up – allocated in Quarter 3	10	This work is now completed and an update provided to Audit Committee at their meeting held on 15 th November 2018.
Direct Payments – allocated in Quarter 4	15	Draft report prepared and awaiting management response.
National Fraud Initiative – allocated in quarter 3	15	Preparation for the 2018/19 data matching exercise is underway for the submission of data sets by the deadline.
Total Priority One	40	
Progress Against Plan – Priority Two – Brought Forward from Future Quarters		
Business Continuity – allocated in quarter 3	15	Work is still ongoing, but majority of the work is complete and should be finished within the first part of quarter 3.
Deprivation of Liberty (DOLS) – allocated I Quarters 4	5	Allocated to SWAP – Terms of Reference agreed and work is underway.
Complaints / Representations & Advocacy – allocated in quarter 4.	10	The audit work relating to advocacy is ongoing. Complaints/Representations work is now complete and draft report issued awaiting management response.
Access to Records - GDPR	10	Audit review relating to privacy notices is now completed.
Total Priority Two	40	
Overall Total of brought forward allocations Priority One & Two	80	